

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

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Group Art Unit: Unknown

Examiner: Unknown

Serial No.: Unknown

Atty. Dkt. No.: 4300.014500

Filed: Concurrently Herewith

Customer No. 23720

For: rAAV VECTOR-BASED COMPOSITIONS  
AND METHODS FOR THE PREVENTION  
AND TREATMENT OF MAMMALIAN  
DISEASES

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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37 C.F.R. § 1.10

I hereby certify that this paper is being deposited with the U.S. Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" (Number EV 405388132 US) service on the date indicated and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

October 19, 2004  
Date

*Sharon P. Smith*  
Signature

The present document is a Preliminary Amendment filed in conjunction with the nationalization of International Patent Application PCT/US03/12324. After entry into the U.S. national stage, and assurance of a U.S. filing date, entry of the following amendments is respectfully requested. Any omitted fees are authorized to be deducted from Williams, Morgan & Amerson Deposit Account No. 50-0786/4300.014500.